

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: SANO et al.
Docket No. 10873.1557USWO
Filed: October 18, 2004
Title: OPTICAL ELEMENT, OPTICAL HEAD, OPTICAL INFORMATION
RECORDING AND REPRODUCTION APPARATUS, COMPUTER,
IMAGE RECORDING DEVICE, IMAGE REPRODUCTION DEVICE,
SERVER AND CAR NAVIGATION SYSTEM

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV 408491859 US
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I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, Mail Stop PCT, P.O. Box 1450, Alexandria, VA 22313-1450.

By: 

Name: David Ortiz

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Mail Stop PCT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

A copy of any foreign patent document or "Other Document" listed on the Form 1449 is enclosed, in accordance with 37 C.F.R. § 1.98(a)(2). Because this application was filed after June 30, 2003, copies of the U.S. Patents and U.S. patent publications listed on the enclosed Form 1449 are not provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.
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Minneapolis, MN 55402-0903
(612) 332-5300

Dated: October 18, 2004

By: 

Douglas P. Mueller
Reg. No. 30,300

DPM/jh

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FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: 10873.1557USWO	Application Number: 10/511867 Unknown
	Applicant: SANO et al.	
	Filing Date: October 18, 2004	Group Art Unit: Unknown

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	6,449,095	09.2002	Ohtaki et al.				

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	9-306018	11.1997	Japan			Abstract	
	11-120587	04.1999	Japan			Abstract	
	2001-60336	03.2001	Japan			Abstract	
	2000-339741	12.2000	Japan			Abstract	
	11-23819	01.1999	Japan			Abstract	
	2001-296417	10.2001	Japan			Abstract	
	2002-62415	02.2002	Japan			Abstract	
	2001-209966	08.2001	Japan			Abstract	
	2000-348376	12.2000	Japan			Abstract	
	01/48746	07.2001	WO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
		"BLUE/DVD/CD COMPATIBLE OPTICAL HEAD WITH THREE WAVELENGTHS AND A WAVELENGTH SELECTIVE FILTER", Ryuichi Katayama and Yuichi Komatsu, Function Devices Research Laboratories, NEC Corporation, We-C-05, p. 30-31

23552

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EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	